

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

ED L. HAGEN,)	
)	No. CV-11-5118-CI
Plaintiff,)	
)	ORDER GRANTING DEFENDANT'S
v.)	MOTION FOR SUMMARY JUDGMENT
)	
CAROLYN W. COLVIN, Acting)	
Commissioner of Social)	
Security, ¹)	
)	
Defendant.)	

BEFORE THE COURT are cross-Motions for Summary Judgment. ECF No. 19, 27. Attorney David L. Lybbert represents Ed Hagen (Plaintiff); Special Assistant United States Attorney M. Thayne Warner represents the Commissioner of Social Security (Defendant). The parties have consented to proceed before a magistrate judge. ECF No. 8. After reviewing the administrative record and briefs filed by the parties, the court **GRANTS** Defendant's Motion for Summary Judgment and **DENIES** Plaintiff's Motion for Summary Judgment.

JURISDICTION

On December 19, 2006, Plaintiff protectively filed an

¹ Carolyn W. Colvin became the Acting Commissioner of Social Security on February 14, 2013. Pursuant to Rule 25(d) of the Federal Rules of Civil Procedure, Carolyn W. Colvin is substituted for Michael J. Astrue as the Defendant in this suit. No further action need be taken to continue this suit. 42 U.S.C. § 405(g).

1 application for both supplemental security income and for disability
2 insurance benefits, alleging disability beginning March 25, 2003.
3 Tr. 8; 190. Plaintiff filed twice before for Title II and Title XVI
4 benefits, once in February 2004, and once in July 2005. Tr. 8; 190-
5 91. In both applications, the Plaintiff alleged the same onset date
6 of disability as in the present applications. Tr. 8. The previous
7 applications were denied on June 24, 2004, and August 26, 2005,
8 respectively. Tr. 8; 190-91. The ALJ indicated that he reviewed
9 all the medical records, but because Plaintiff did not appeal his
10 earlier denials, he concluded that a final determination of non-
11 disability was made through August 26, 2005. As a result, the ALJ
12 discussed evidence post-dating August 26, 2005, as justification for
13 the opinion. Tr. 8.

14 In his application for benefits, Plaintiff reported that he
15 stopped working because the chronic pain was too much, and he was
16 unable to sit or stand for a long time. Tr. 169. Plaintiff's claim
17 was denied initially and on reconsideration, and he requested a
18 hearing before an administrative law judge (ALJ). Tr. 8; 97-111.
19 A hearing was held on August 18, 2009, at which Vocational Expert
20 Anne F. Aastum, medical expert James M. Haynes, M.D., and Plaintiff,
21 who was represented by counsel, testified. Tr. 29-96. ALJ Gene
22 Duncan presided. Tr. 29. The ALJ denied benefits on November 13,
23 2009. Tr. 8-21. The instant matter is before this court pursuant
24 to 42 U.S.C. § 405(g).

25 **STATEMENT OF THE CASE**

26 The facts of the case are set forth in detail in the transcript
27 of proceedings and are briefly summarized here. At the time of the
28 hearing, Plaintiff was 45 years old, living with one other person in

1 a one-bedroom apartment in Pasco, Washington. Tr. 32; 54; 189.
2 Plaintiff attended school through the tenth grade, and later
3 obtained a GED. Tr. 32. In 2003, Plaintiff injured his back
4 lifting a 50-pound bag of salt at work. Tr. 303-04. Plaintiff
5 testified that now he has to be very careful how he moves, and a
6 couple of times per week he "throws out" his back and is bedridden
7 for a few days. Tr. 34. Plaintiff estimated his back pain, on a
8 level of one to ten, is constant at a seven, but often flares up to
9 nine to eleven. Tr. 48.

10 Plaintiff testified that during the day, he takes care of his
11 15 year old son, and otherwise is a "couch potato." Tr. 51. He
12 also testified that he has to change positions every couple of
13 minutes, and he cannot sit for more than fifteen minutes at a time.
14 Tr. 52-53. He attends church and reads. Tr. 54. Plaintiff
15 testified he cannot work because he is in such pain that he cannot
16 "focus on anything but the pain. All the meds [sic] I'm taking make
17 me dizzy, sleepy, or both." Tr. 56. Plaintiff smokes one and one-
18 half packs of cigarettes per day. Tr. 32.

19 Plaintiff's past work includes sales route driver, merchandise
20 deliverer, manager fast food services, and he was trained for one
21 month as a surveillance systems monitor. Tr. 70.

22 ADMINISTRATIVE DECISION

23 At step one, ALJ Duncan found Plaintiff had not engaged in
24 substantial gainful activity since March 25, 2003, the alleged onset
25 date. Tr. 10. At step two, he found Plaintiff had the following
26 severe impairments: Degenerative Disc Disease; Lumbar Radiculopathy
27 Status-post Back Injury; and Obesity. Tr. 11. At step three, the
28 ALJ determined Plaintiff's impairments, alone and in combination,

1 did not meet or medically equal one of the listed impairments in 20
2 C.F.R., Subpart P, Appendix 1 (20 C.F.R. §§ 416.920(d), 416.925 and
3 416.926). Tr. 11. The ALJ found Plaintiff has the Residual
4 Functional Capacity ("RFC") to perform sedentary work with the
5 following limitations:

6 [H]e can occasionally (10% of the workday) climb, kneel,
7 stoop, balance, crouch, and crawl; he should not be
8 exposed to heights, dangerous machinery, or vibrating
9 equipment; he is limited to only superficial public
10 contacts and should not be involved in intense
11 interactions with others; he should have a sit/stand
12 option allowing him to move about his job station for one
to three minutes every hour; he can walk no more than one
block on uneven terrain; he can pull objects weighing ten
pounds or less; he should not have direct access to drugs
or alcohol; he can occasionally bend from the waist; and
he should not torque or twist his upper body.

13 Tr. 13.

14 In his step four findings, the ALJ found Plaintiff's statements
15 regarding pain and limitations were not credible to the extent they
16 were inconsistent with the RFC findings. Tr. 13. The ALJ found
17 that Plaintiff was able to perform past relevant work as a
18 surveillance systems monitor. Tr. 18. Alternatively, the ALJ found
19 after considering Plaintiff's age, education, work experience, and
20 residual functional capacity, jobs exist in significant numbers in
21 the national economy that the Plaintiff can perform, such as
22 addressor, final assembler, pin and clip fastener, document
23 preparer, and cutter and paster. Tr. 20.

24 STANDARD OF REVIEW

25 In *Edlund v. Massanari*, 253 F.3d 1152, 1156 (9th Cir. 2001), the
26 court set out the standard of review:

27 A district court's order upholding the Commissioner's
28 denial of benefits is reviewed *de novo*. *Harman v. Apfel*,
211 F.3d 1172, 1174 (9th Cir. 2000). The decision of the

1 Commissioner may be reversed only if it is not supported
2 by substantial evidence or if it is based on legal error.
3 *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).
4 Substantial evidence is defined as being more than a mere
5 scintilla, but less than a preponderance. *Id.* at 1098.
6 Put another way, substantial evidence is such relevant
7 evidence as a reasonable mind might accept as adequate to
8 support a conclusion. *Richardson v. Perales*, 402 U.S.
9 389, 401 (1971). If the evidence is susceptible to more
10 than one rational interpretation, the court may not
11 substitute its judgment for that of the Commissioner.
12 *Tackett*, 180 F.3d at 1097; *Morgan v. Commissioner of*
13 *Social Sec. Admin.*, 169 F.3d 595, 599 (9th Cir. 1999).

8 The ALJ is responsible for determining credibility,
9 resolving conflicts in medical testimony, and resolving
10 ambiguities. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th
11 Cir. 1995). The ALJ's determinations of law are reviewed
12 *de novo*, although deference is owed to a reasonable
13 construction of the applicable statutes. *McNatt v. Apfel*,
14 201 F.3d 1084, 1087 (9th Cir. 2000).

12 It is the role of the trier of fact, not this court, to resolve
13 conflicts in evidence. *Richardson*, 402 U.S. at 400. If evidence
14 supports more than one rational interpretation, the court may not
15 substitute its judgment for that of the Commissioner. *Tackett*, 180
16 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579 (9th Cir. 1984).
17 Nevertheless, a decision supported by substantial evidence will
18 still be set aside if the proper legal standards were not applied in
19 weighing the evidence and making the decision. *Browner v. Secretary*
20 *of Health and Human Services*, 839 F.2d 432, 433 (9th Cir. 1988). If
21 substantial evidence exists to support the administrative findings,
22 or if conflicting evidence exists that will support a finding of
23 either disability or non-disability, the Commissioner's
24 determination is conclusive. *Sprague v. Bowen*, 812 F.2d 1226, 1229-
25 1230 (9th Cir. 1987).

26 SEQUENTIAL PROCESS

27 The Commissioner has established a five-step sequential
28 evaluation process for determining whether a person is disabled. 20

1 C.F.R. §§ 404.1520(a), 416.920(a); see *Bowen v. Yuckert*, 482 U.S.
2 137, 140-42 (1987). In steps one through four, the burden of proof
3 rests upon the claimant to establish a prima facie case of
4 entitlement to disability benefits. *Tackett*, 180 F.3d at 1098-99.
5 This burden is met once a claimant establishes that a physical or
6 mental impairment prevents him from engaging in his previous
7 occupation. 20 C.F.R. §§ 404.1520(a)(4), 416.920(a)(4). If a
8 claimant cannot do his past relevant work, the ALJ proceeds to step
9 five, and the burden shifts to the Commissioner to show that (1) the
10 claimant can make an adjustment to other work; and (2) specific jobs
11 exist in the national economy which claimant can perform. *Batson v.*
12 *Commissioner of Social Sec. Admin.*, 359 F.3d 1190, 1193-94 (2004).
13 If a claimant cannot make an adjustment to other work in the
14 national economy, a finding of "disabled" is made. 20 C.F.R. §§
15 404.1520(a)(4)(I-v), 416.920(a)(4)(I-v).

16 ISSUES

17 Plaintiff first asks the court to reopen the prior denial of
18 the Title II case he filed in 2004 and the Title XVI claim he filed
19 in 2005. ECF No. 20 at 8. Plaintiff also argues that the ALJ erred
20 by: (1) finding Plaintiff did not meet Listing 1.04; (2) finding
21 Plaintiff had little credibility; and (3) failing to obtain an
22 opinion from a vocational expert that reflected all Plaintiff's
23 functional limitations. ECF No. 20 at 9.

24 ANALYSIS

25 A. Reopening Plaintiff's prior claims

26 The ALJ noted that while he reviewed all the evidence
27 submitted, including the records relating to Plaintiff's condition
28 prior to August 27, 2005, he recognized a final determination of

1 non-disability had been made through August 26, 2005, and, thus, he
2 would consider the evidence from August 27, 2005, forward in
3 determining the instant case. Tr. 8. Plaintiff requests the court
4 "consider reopening the prior denials of 2004, as to the Title II
5 claim, and the 2005 denial under the Title XVI claim." ECF No. 20 at
6 8.

7 The regulations state that the ALJ may dismiss a hearing
8 request or refuse to consider one or more issues on the grounds of
9 *res judicata*. 20 C.F.R. § 416.1457(c)(1). The doctrine of *res*
10 *judicata* applies when a previous determination has been made
11 regarding one's rights on the same facts and same issue or issues,
12 and this previous determination or decision has become final by
13 either administrative or judicial action. 20 C.F.R. §
14 404.957(c)(1).

15 An ALJ's decision to not reopen a case is a discretionary
16 decision. The court may apply *res judicata* to bar reconsideration
17 of a period for which a determination has already been made, by
18 declining to reopen a prior application. *Lester v. Chater*, 81 F.3d.
19 821, 827 (9th Cir. 1995), citing *Krumpleman v. Heckler*, 767 F.2d 586.
20 588 (9th Cir. 1985), *cert denied*, 475. U.S. 1025 (1986). As a
21 general matter, the ALJ's refusal to reopen a decision as to an
22 earlier period is not subject to judicial review. *Id.* A district
23 court has no jurisdiction to review the ALJ's discretionary denial
24 of a request to reopen a prior final determination absent a
25 colorable constitutional claim. Plaintiff's 2004 claim was denied
26 on June 24, 2004, his 2005 claim was denied on August 26, 2006, and
27 he did not file an appeal after notice of his rights in either case.
28 Tr. 8; 14. No constitutional claim has been raised and, therefore,

1 the ALJ's decision not to reopen the 2004 and 2005 cases are not
2 subject to review. Tr. 8.

3 **B. Credibility**

4 Plaintiff contends that the ALJ erred by finding Plaintiff not
5 credible solely because the objective medical evidence did not
6 corroborate his alleged severity of pain. ECF No. 20 at 19. The
7 ALJ is responsible for determining credibility, resolving conflicts
8 in medical testimony, and resolving ambiguities. *Reddick v. Chater*,
9 157 F.3d 715, 722 (9th Cir. 1998). The ALJ's findings must be
10 supported by "specific," "cogent" reasons. *Reddick*, 157 F.3d at
11 722. If a claimant produces objective medical evidence of an
12 underlying impairment, an ALJ may not reject a claimant's subjective
13 complaints of pain based solely on lack of medical evidence. *Burch*
14 *v. Barnhart*, 400 F.3d 676, 680 (9th Cir. 2005). See also *Light v.*
15 *Soc. Sec. Admin.*, 119 F.3d 789, 792 (9th Cir. 1997) (holding that an
16 ALJ may not discredit a claimant's subjective testimony on the basis
17 that there is no objective medical evidence that supports the
18 testimony). Unless there is affirmative evidence showing that the
19 claimant is malingering, the ALJ must provide "clear and convincing"
20 reasons for rejecting pain testimony. *Burch*, 400 F.3d at 680.
21 General findings are insufficient; the ALJ must identify what
22 testimony is not credible and what evidence undermines the
23 claimant's complaints. *Reddick*, 157 F.3d at 722.

24 The reasons the ALJ gives for rejecting a claimant's testimony
25 must be supported by substantial evidence in the record.
26 *Regennitter v. Comm'r of Soc. Sec. Admin.*, 166 F.3d 1294, 1296 (9th
27 Cir. 1999). If substantial evidence exists in the record to support
28 the ALJ's credibility finding, the court will not engage in

1 second-guessing. *Thomas v. Barnhart*, 278 F.3d 947, 959 (9th Cir.
2 2002). When the evidence can support either outcome, the court may
3 not substitute its judgment for that of the ALJ. *Tackett*, 180 F.3d
4 at 1098.

5 In evaluating credibility, the ALJ may engage in ordinary
6 techniques of credibility evaluation, including considering
7 claimant's reputation for truthfulness and inconsistencies in
8 claimant's testimony, or between claimant's testimony and conduct,
9 claimant's daily activities, claimant's work record, and testimony
10 from physicians and third parties concerning the nature, severity
11 and effect of the symptoms of which claimant complains. *Thomas*, 278
12 F.3d at 958-59. Also, the ALJ may consider the location, duration
13 and frequency of symptoms; factors that precipitate and aggravate
14 those symptoms; the amount and side effects of medications; and
15 treatment measures taken by the claimant to alleviate those
16 symptoms. See SSR 96-7p.

17 In this case, the ALJ used objective medical evidence in the
18 record as one factor in his credibility determination. Tr. 14. He
19 also relied on the factors set forth in Social Security Ruling
20 88-13, and *Smolen v. Chater*, 80 F.3d 1273, 1284 (9th Cir. 1996).
21 These factors include, *inter alia*, physicians' observations, lack of
22 adequate explanation for not seeking medical attention, Plaintiff's
23 lack of effort during exams and physicians' observations that
24 Plaintiff embellished his symptoms, and other aggravating factors.
25 *Smolen*, 80 F.3d at 1284. The ALJ took all of the above into
26 consideration, showing he did not base his credibility determination
27 entirely on the objective medical evidence. Tr. 13-17; 297; 341;
28 414; 447; 453-54; 489-95.

Moreover, the objective medical studies cited by Plaintiff that he claimed established nerve damage, were contradicted by additional objective medical evidence that was cited and relied upon by the ALJ. ECF No. 20 at 20; Tr. 14-15. As a result, substantial evidence supported the ALJ's ruling related to Plaintiff's lack of credibility.

C. Listing 1.04

Plaintiff claims that he qualifies for Listing 1.04:

Disorders of the spine (e.g., herniated nucleus pulposus, spinal arachnoiditis, spinal stenosis, osteoarthritis, degenerative disc disease, facet arthritis, vertebral fracture), resulting in compromise of a nerve root (including the cauda equina) or the spinal cord. With .

.
C. Lumbar spinal stenosis resulting in pseudoclaudication, established by findings on appropriate medically acceptable imaging, manifested by chronic nonradicular pain and weakness, and resulting in inability to ambulate effectively, as defined in 1.00B2b.

20 C.F.R. Pt. 404, Subpt. P, App. 1, § 1.04. The listing requires the establishment of a disorder with a co-occurring loss of function. "For a claimant to show that his impairment matches a listing, it must meet all of the specified medical criteria. An impairment that manifests only some of those criteria, no matter how severely, does not qualify." *Sullivan v. Zebley*, 493 U.S. 521, 530, 110 S. Ct. 885, 107 L. Ed. 2d 967 (1990). Diagnosis of the disorder must be supported by findings from medically acceptable imaging procedures (such as x-ray or MRI) and abnormal findings should be confirmed by alternative testing methods. 20 C.F.R. Pt. 404, Subpt. P, App. 1, § 1.00(C)-(D).

Loss of function is defined by the Listings as the inability to ambulate effectively or the inability to perform fine and gross motor movements effectively. 20 C.F.R. Pt. 404, Subpt. P, App. 1,

1 § 1.00(B)(2)(a). "Inability to ambulate effectively means an
2 extreme limitation of the ability to walk; i.e., an impairment(s)
3 that interferes very seriously with the individual's ability to
4 independently initiate, sustain, or complete activities." 20 C.F.R.
5 Pt. 404, Subpt. P, App. 1, § 1.00(B)(2)(b)(1). Effective ambulation
6 is characterized by the ability to carry out activities of daily
7 living and to travel without the assistance of a companion, while
8 examples of ineffective ambulation include the inability to walk
9 without two crutches or canes and the inability to shop or climb
10 steps. *Id.*

11 Substantial evidence supports the ALJ's conclusion that
12 Plaintiff's impairments do not meet the requirements of Listing
13 1.04. Listing 1.04(A) requires evidence of nerve root compression,
14 which much of the medical evidence suggests that Plaintiff lacked.
15 On April 4, 2003, Craig M. Feeney, M.D., opined Plaintiff's MRI
16 results indicated in part, "[n]arrowing of the thecal sac at L4-5,
17 probable L5 nerve root encroachment." Tr. 436. However, as the ALJ
18 noted, that finding was later contradicted by both orthopedic
19 surgeon Joseph S. Sacamano, M.D., and neurosurgeon Thomas Dietrich,
20 M.D., who jointly opined "[w]e note that there is indeed some
21 bulging of the intervertebral disc between L4 and L5. We do not
22 find distinct neural impact from such bulging." Tr. 340. Reviewing
23 physician James Haynes, M.D., testified at the hearing that while
24 Plaintiff had arthritis of the lumbar spine, he could not see nerve
25 root contact or effect, and Plaintiff did not meet or equal Listing
26 1.04. Tr. 36-37. Additionally, the record supports the ALJ's
27 finding that Plaintiff was observed on multiple occasions to have a
28 normal gait, and on the occasion he appeared to limp, he could still

1 heal and toe walk and had an appropriate tandem gait. Tr. 12; 277;
2 307; 318; 336; 403.

3 Additionally, even if Plaintiff had established nerve root
4 impingement, substantial evidence is lacking that he meets the
5 functional limitations required by Listing 1.04. The record does
6 not contain evidence that support Plaintiff's claim that he needs to
7 use a cane to ambulate. Section 1.00B2b defines the "inability to
8 ambulate effectively" as the inability to ambulate "without the use
9 of hand-held assistive device(s) that limits the functioning of both
10 upper extremities," such as "a walker, two crutches or two canes."
11 20 C.F.R. Part 404, Subpart P, App. 1, § 1.00B2b. Plaintiff does
12 not assert – nor is there any evidence in the record to support such
13 an assertion – that to ambulate, he requires two canes, or any other
14 assistive device that limits the functioning of both upper
15 extremities. Thus, Plaintiff has not shown that he meets Listing
16 1.04.

17 **D. Vocational Expert Testimony and Past Relevant Work**

18 Plaintiff contends that the testimony the VE provided lacked
19 evidentiary value because it was not based upon all the limitations
20 the ALJ assessed for Plaintiff. ECF No. 20 at 10-11. Instead,
21 Plaintiff argues, the hypothetical posed to the VE reflected a
22 claimant who could perform light exertion work, instead of sedentary
23 work. ECF No. 20 at 11. Additionally, Plaintiff argues that he
24 received only one month of "training" in surveillance monitoring
25 that did not qualify as past relevant work. ECF No. 20 at 13.

26 **1. Vocational Expert Testimony**

27 The ALJ concluded that Plaintiff can perform sedentary work,
28 with additional restrictions. Tr. 13. Sedentary work involves

1 lifting no more than 10 pounds at a time and standing or walking no
2 more than about 2 hours of an 8-hour workday. SSR 83-10. Light
3 work is defined as lifting no more than 20 pounds at a time with
4 frequent lifting or carrying of objects weighing up to 10 pounds.
5 SSR 83-10. The full range of light work requires standing or
6 walking, off and on, for a total of about 6 hours of an 8-hour
7 workday. SSR 83-10.

8 The Plaintiff is correct that the ALJ's hypothetical posed to
9 the Vocational Expert reflected light, instead of sedentary,
10 exertional capabilities related to lifting:

11 Assume that the claimant retains a residual functional
12 capacity for detailed work, with the following additional
13 limitations: occasionally lift 20 pounds, frequently lift
14 10 pounds; can sit for six hours of an eight-hour day; can
15 stand or walk for two hours of an eight-hour day;
16 occasionally climb, kneel, stoop, balance, crouch, crawl,
17 occasionally equal 10 percent in this case, 10 percent of
18 the workday; should not be exposed to heights, dangerous
19 machinery, vibrating equipment; can have only superficial
20 public contact; should have a sit/stand option, in
21 particular, allowing one to three minutes to move about at
22 his job station every hour; can only walk for one block on
23 uneven terrain; can pull objects weighing 10 pounds or
24 less.

25 Tr. 72.

26 The ALJ also added the limitations of no access to drugs or
27 alcohol; occasional bending from the waist; no torquing or twisting
28 of the upper body; and no intense interaction with others. Tr. 79.

29 The Plaintiff argues that the case lacks testimony from the VE
30 that supports the ALJ's ultimate conclusion Plaintiff can return to
31 work. ECF No. 20 at 11-12. In the opinion, the ALJ acknowledged
32 the hypothetical posed to the VE reflected light work exertion, but
33 reasoned that because the DOT defined surveillance systems monitor
34 as sedentary, and because Plaintiff testified that he could move

1 about that work station as much as necessary, the ALJ concluded
2 Plaintiff could perform his previous work.² Tr. 19.

3 At step four, Plaintiff bears the burden of proving that he
4 cannot perform past relevant work. *Matthews v. Shalala*, 10 F.3d
5 678, 681 (9th Cir. 1993). "A claimant establishes a prima facie
6 case of disability by showing that his impairments prevent him from
7 doing his previous job." *DeLorme v. Sullivan*, 924 F.2d 841, 849-50
8 (9th Cir. 1991). If the claimant fails to do so, the burden never
9 shifts to the Commissioner, and no vocational expert testimony is
10 necessary. *Matthews*, 10 F.3d at 681. Although the Plaintiff bears
11 the burden of proof at step four, the ALJ is still required to make
12 the requisite factual findings to support his conclusion. *Pinto v.*
13 *Massanari*, 249 F.3d 840, 844 (9th Cir. 2001). The ALJ must compare
14 a Plaintiff's residual functional capacity to the physical and
15 mental demands of the Plaintiff's past work. 20 C.F.R. §
16

17 2

18 [T]he hypothetical individual posed to the vocational
19 expert initially referred to an individual that was able
20 to perform a light level of exertion. The vocational
21 expert testified that such an individual would be able to
22 perform the claimant's past relevant work as a
23 surveillance systems monitor as defined by the DOT. The
24 undersigned did not question the vocational expert whether
25 an individual capable of only sedentary exertion would be
26 able to perform the claimant's past relevant work, but the
27 DOT defines the occupation of Surveillance Systems Monitor
28 as sedentary. The only difference between the
undersigned's hypothetical individuals was their
exertional level. All other limitations remained the
same. The claimant also testified that, while working in
his surveillance job, he was able to move about his work
station much more than his assessed residual functional
capacity required. Thus, the undersigned finds that the
claimant is able to perform his past relevant work as a
surveillance systems monitor both as he actually performed
it and as generally performed in the national economy.

Tr. 19.

1 416.920(f); *Pinto*, 249 F.3d at 844-45. If the Plaintiff can still
2 perform his prior work, he is not disabled. *Id.* Plaintiff's
3 testimony may be used to define a claimant's past relevant work as
4 actually performed. *Id.* If a claimant can perform light work, then
5 it is determined the claimant can also perform sedentary work,
6 unless additional limiting factors exist, such as loss of fine
7 dexterity or inability to sit for long periods of time. 20 C.F.R.
8 § 404.1567(b).

9 In this case, the ALJ compared Plaintiff's RFC with the
10 physical and mental demands of surveillance monitor and concluded
11 Plaintiff was able to perform the work as actually and generally
12 performed. Tr. 19. The ALJ's initial hypothetical to the Vocational
13 Expert misstated Plaintiff's exertional abilities related to the
14 amount of weight he could lift. That portion of the hypothetical
15 reflected the ability to perform light work, when the ALJ found
16 Plaintiff could perform only sedentary work. Because the ALJ
17 ultimately concluded Plaintiff could perform his past relevant work
18 of surveillance monitor, classified as sedentary work that did not
19 require lifting that exceeded Plaintiff's abilities, the error in
20 the hypothetical was irrelevant. The ALJ did not err by relying
21 upon the VE's testimony that Plaintiff could perform his past
22 relevant work.

23 2. Past Relevant Work

24 Plaintiff also argues that his single month of training for
25 surveillance system monitor work did not constitute regular
26 employment that was sufficient or equivalent to work. ECF No. 20 at
27 13. Past relevant experience is defined as "work . . . done within
28 the past 15 years, that was substantial gainful activity, and that

1 lasted long enough . . . to learn how to do it." 20 C.F.R. §
2 404.1560(b)(1). A finding of past relevant experience is
3 significant since that finding combined with a residual functional
4 capacity assessment allows an ALJ to stop at step four of the
5 five-step sequential evaluation of disability and not consider other
6 factors required in step five. See 20 C.F.R. § 416.920(a)(4).

7 Plaintiff testified that he was hurt on the job in March 2003.
8 Tr. 33. He said he received workers' compensation benefits for
9 about a year, and then he settled the case. Tr. 33. Plaintiff
10 testified that he was trained to monitor a surveillance system for
11 30 days, and it was "considered a training exercise." Tr. 75. He
12 testified that he was paid hourly, and he thought the wage was sent
13 to L&I, and he received "the regular check." Tr. 75.

14 As the ALJ noted, Plaintiff stated in his Work History Report
15 that he was trained in surveillance from October 1, 2004 to October
16 31, 2004. Tr. 18; 212. The surveillance system monitor job is
17 classified as level 2 Specific Vocational Preparation ("SVP"), which
18 is unskilled. See DOT 379.367-010; 20 C.F.R. § 404.1568(a); Tr.
19 73. Under the Social Security regulations, "unskilled work" is
20 defined as work that requires "little or no judgment" in performing
21 "simple" duties that can be learned "on the job" and "in a short
22 period of time." A job with a level 2 SVP requires training time
23 required to learn the job of up to one month. 20 C.F.R. §§ 404.1568
24 (a),³ 416.968(a) (2008). Because Plaintiff was trained for one month

25
26 3

27 (a) Unskilled work is work which needs little or no
28 judgment to do simple duties that can be learned on the
job in a short period of time. The job may or may not
require considerable strength. For example, we consider

1 as a surveillance systems monitor, he is deemed to have been on the
2 job long enough to learn how to do it; Plaintiff's month of training
3 qualifies as past relevant work.

4 Finally, to qualify as past relevant work, Plaintiff's earnings
5 must exceed the presumptive amount for monthly earnings in 2004. In
6 evaluating whether a claimant's work is substantial and gainful, the
7 ALJ's primary consideration will be the earnings the claimant
8 derives from the work activity. 20 C.F.R. § 404.1574(a)(1); see
9 also SSR 83-33 ("[E]arnings provide an objective and feasible
10 measurement of work.") When a claimant earns more than the primary
11 amount set forth in the earning guidelines contained in SSR 83-33,
12 a rebuttable presumption arises that the claimant was engaged in
13 substantial gainful activity. In 2004, Plaintiff's reported
14 earnings for the single month was \$1,447, from the Crazy Moose
15 Casino in Auburn, Washington. Tr. 157; 161. The presumptive monthly
16 amount for 2004 was \$810.00 per month. Tr. 19; 20 C.F.R.
17 § 404.1574; SSR 83-33. Because Plaintiff earned more than the
18 presumptive monthly amount, his work as a surveillance systems
19 monitor qualifies as past relevant work, and the ALJ did not err in
20 this determination.

21 CONCLUSION

22 Having reviewed the record and the ALJ's findings, the court
23

24 jobs unskilled if the primary work duties are handling,
25 feeding and offbearing (that is, placing or removing
26 materials from machines which are automatic or operated by
27 others), or machine tending, and a person can usually
28 learn to do the job in 30 days, and little specific
vocational preparation and judgment are needed. A person
does not gain work skills by doing unskilled jobs.

20 C.F.R. § 404.1568 (a).

1 concludes the ALJ's decision is supported by substantial evidence
2 and is not based on legal error. Accordingly,

3 **IT IS ORDERED:**

4 1. Defendant's Motion for Summary Judgment, **ECF No. 27**, is
5 **GRANTED.**

6 2. Plaintiff's Motion for Summary Judgment, **ECF No. 19**, is
7 **DENIED.**

8 The District Court Executive is directed to file this Order and
9 provide a copy to counsel for Plaintiff and Defendant. Judgment
10 shall be entered for **DEFENDANT** and the file shall be **CLOSED.**

11 DATED April 11, 2013.

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13 S/ CYNTHIA IMBROGNO
14 UNITED STATES MAGISTRATE JUDGE
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